

Form PTO-1390 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE (REV 10-95) TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		ATTORNEY'S DOCKET NUMBER 0702-020391
		U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10 / 088126
INTERNATIONAL APPLICATION NO. PCT/NL00/00594	INTERNATIONAL FILING DATE 25.08.00 (25 August 2000)	PRIORITY DATES CLAIMED 15.09.99, 24.01.00, 25.02.00
TITLE OF INVENTION CONTINUOUS VARIABLE TRANSMISSION		
APPLICANT(S) FOR DO/EO/US Heerke HOOGENBERG		
<p>Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items And other information:</p> <ol style="list-style-type: none"> 1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. <input checked="" type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1). 4. <input checked="" type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date. 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ul style="list-style-type: none"> a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau). b. <input checked="" type="checkbox"/> has been transmitted by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)). 7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ul style="list-style-type: none"> a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau). b. <input type="checkbox"/> have been transmitted by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input checked="" type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). <p>Items 11. to 16. below concern document(s) or information included:</p> <ol style="list-style-type: none"> 11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. <input checked="" type="checkbox"/> A FIRST preliminary amendment. <ul style="list-style-type: none"> <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. 14. <input type="checkbox"/> A substitute specification. 15. <input type="checkbox"/> A change of power of attorney and/or address letter. 16. <input checked="" type="checkbox"/> Other items or information: <ul style="list-style-type: none"> a. WO 01/20193-Front Page, Specification, Claims and Drawings (22 pp.) b. International Search Report (3 pp.) 		

U.S. APPLICATION NO. (Leave Blank for International Application)	INTERNATIONAL APPLICATION NO. PCT/NL00/00594	ATTORNEY'S DOCKET NUMBER 0702-020391		
<p>17. <input checked="" type="checkbox"/> The following fees are submitted:</p> <p>BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)):</p> <p>Search Report has been prepared by the EPO or JPO..... \$890.00</p> <p>International preliminary examination fee paid to USPTO (37 CFR 1.482) \$710.00</p> <p>No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2))..... \$740.00</p> <p>Neither international preliminary examination fee (37 CFR 1.482) nor International search fee (37 CFR 1.445(a)(2)) paid to USPTO..... \$1040.00</p> <p>International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4)..... \$100.00</p>		CALCULATIONS PTO USE ONLY		
ENTER APPROPRIATE BASIC FEE AMOUNT =		\$ 890.00		
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).		\$ 130.00		
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	
Total claims	20 - 20	0	X \$18.00	\$ 0.00
Independent claims	2 - 3 =	0	X \$84.00	\$ 0.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)		+ \$280.00	\$ 0.00	
TOTAL OF ABOVE CALCULATIONS =		\$ 1020.00		
Reduction of 1/2 for filing by small entity, if applicable.		\$ 0.00		
SUBTOTAL =		\$ 1020.00		
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)). +		\$ 0.00		
TOTAL NATIONAL FEE =		\$ 1020.00		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +		\$ 0.00		
TOTAL FEES ENCLOSED =		\$ 1020.00		
		Amount to be: Refunded	\$	
		Charged	\$	
<p>a. <input checked="" type="checkbox"/> A check in the amount of \$ <u>1020.00</u> to cover the above fees is enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Assistant Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>23-0650</u>. A duplicate copy of this sheet is enclosed.</p>				
<p>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</p>				
SEND ALL CORRESPONDENCE TO: Richard L. Byrne 700 Koppers Building 436 Seventh Avenue Pittsburgh, Pennsylvania 15219-1818 Telephone: (412) 471-8815 Facsimile: (412) 471-4094		 SIGNATURE <u>Richard L. Byrne</u> NAME <u>28.498</u> REGISTRATION NUMBER		

10/088126

JC13 Rec'd PCT/PTO 14 MAR 2002

PATENT APPLICATION/PCT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:
Heerke HOOGENBERG

ATTORNEY'S DOCKET NUMBER
702-020391

PCT/NL00/00594

ENTITLED

CONTINUOUS VARIABLE TRANSMISSION

To **BOX PCT**
Attention: **DO/EO/US**

Assistant Commissioner for Patents
Washington, D.C. 20231

EXPRESS MAIL CERTIFICATE

"Express Mail" Label Number EL873414036US

Date of Deposit March 14, 2002

I hereby certify that the following attached paper or fee

**Transmittal Letter To The United States
Designated/Elected Office (DO/EO/US) Concerning A
Filing Under 35 U.S.C. 371 (original and two (2) copies)
And Check In The Amount Of \$1020.00 For Filing Fee;
Letter Recognizing Attorneys (2 pp.);
Preliminary Amendment;
WO 01/20193 Front Page With Abstract (2 pp.); Specification (10 pp.);
Claims (3 pp.);
Seven Sheet Of Drawings;
International Search Report (3 pp.)**

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

K.T. Berthold
(Typed name of person mailing paper or fee)

KT Berthold
(Signature of person mailing paper or fee)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :

Heerke HOOGENBERG : CONTINUOUS VARIABLE TRANSMISSION

International Application :
No. PCT/NL00/00594 :

International Filing Date :
25 August 2000 :

Priority Dates Claimed :
15 September 1999 :
24 January 2000 :
25 February 2000 :

Serial No. Not Yet Assigned :

Filed Concurrently Herewith :

Pittsburgh, Pennsylvania
March 14, 2002

LETTER RECOGNIZING ATTORNEYS

Box PCT
Assistant Commissioner for Patents
Washington DC 20231

Sir:

Enclosed are appropriate papers for initiating the national phase of the above-identified PCT application, comprising a specification, claims, abstract and drawings. A Preliminary Amendment is also enclosed.

Please accept the application for purposes of granting a filing date and recognize Richard L. Byrne, Russell D. Orkin and Christian E. Schuster, Registration Nos. 28,498, 25,363 and 43,908, respectively, as attorneys in this application, pending the filing of a formal Declaration and Power of Attorney.

10/088126
JC13 Re [REDACTED] CT/PTO 14 MAR 2002

Kindly direct all communications relating to this application to **Richard L. Byrne**.

Respectfully submitted,

WEBB ZIESENHEIM LOGSDON
ORKIN & HANSON, P.C.

By 

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